

AJ Bell plc

Role of the Chair

Role description	Leadership of the board of AJ Bell plc (Board) with responsibility for its overall operation, governance and effectiveness.
Responsibilities	<ul style="list-style-type: none"> • Promoting a culture of openness and debate in order to facilitate constructive Board relations and the effective contribution of all directors drawing on their skills, experience and knowledge. • Ensuring the Board has effective decision-making processes and applies sufficient challenge to major proposals. • Ensuring all directors are aware of, and discharge, their responsibilities as a director of AJ Bell plc, including their statutory duties. • Ensuring that the Board determines the nature, and extent, of the significant risks AJ Bell plc is willing to embrace in the implementation of its strategy. • Holding meetings with the non-executive directors without the executive directors in order to facilitate a full and frank airing of views. • Setting an agenda for discussion at Board meetings which is primarily focussed on strategy, performance, value creation, culture, customers and other stakeholders and accountability, and ensuring that issues relevant to those areas are reserved for the Board. • Ensuring that adequate time is available for discussion of agenda items, particularly those of a strategic or critical nature, and that debate is not cut short. • Ensuring that all directors receive the information that is required for the proper performance of their duties in a timely manner and that such information is accurate, high quality and clear. • Shaping the culture in the Boardroom and ensuring that there is sufficient diversity of opinion, expertise and experience in the Boardroom. • Ensuring the Board gives appropriate consideration to environmental, social and governance (ESG) factors and maintaining oversight of ESG policies and the approach of the business to ESG matters generally. • Ensuring an open and effective relationship is maintained with the FCA and other regulators. • Ensuring that the interests of customers are central to culture and purpose of the business and that the Consumer Duty is embedded throughout the firm.

	<ul style="list-style-type: none"> • Ensuring the Board gives appropriate consideration to the interests of customers, including the implementation and ongoing embedment of the Consumer Duty. • Serving on the committees of the Board as determined from time to time by the Board and attending all such committee meetings. • Encouraging all Board members to engage in Board committee meetings by drawing on their skills, experience and knowledge. • Fostering relationships based on trust, mutual respect and open communication, both inside and outside the Boardroom, between non-executive directors and executive directors and other members of the senior management team. • Developing a productive working relationship with the CEO, providing support and advice, while respecting executive responsibility. • Leading the annual evaluation of the Board, with support from the Senior Independent Director, as appropriate, and acting on the results of such evaluation by recognising the strengths and addressing the weaknesses of the board. • Considering having regular externally facilitated Board evaluations in accordance with the UK Code. • Ensuring that the Board has a clear understanding of the views of shareholders, the workforce, customers, their advisers and other key stakeholders. • Providing guidance and mentoring to new directors as appropriate. • Ensuring that new directors participate in a full, formal and tailored induction programme. • Ensuring that the non-executive directors and CEO continually update their skills, knowledge and familiarity with AJ Bell plc to fulfil their role both on the Board and its committees.
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Approved by	Board
Approval Date	10/2023
Next Review Date	09/2025